

COPY OF PAPERS
ORIGINALLY FILED

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul F. Crowder et al. Examiner: Not yet assigned
Serial No.: 09/895,303 Group Art Unit: 2881
Filed: June 29, 2001 Docket: G&C 122.6-US-U1
Title: GAIN VOLTAGE CONTROL OF SAMPLED GRATING DISTRIBUTED BRAGG
REFLECTOR LASERS

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on June 27, 2002.

By: George H. Gates
Name: George H. Gates

OFFICE OF INITIAL PATENT EXAMINATION - CUSTOMER SERVICE CENTER

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Request for Corrected Filing Receipt and Copy of Filing Receipt.
- ☒ Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

CUSTOMER NUMBER 22462

GATES & COOPER LLP

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: George H. Gates
Name: George H. Gates
Reg. No.: 33,500
GHG/sjm

(PTO TRANSMITTAL - GENERAL)



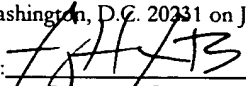
COPY OF PAPERS
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul F. Crowder et al. Examiner: Not yet assigned
Serial No.: 09/895,303 Group Art Unit: 2881
Filed: June 29, 2001 Docket: G&C 122.6-US-U1
Title: GAIN VOLTAGE CONTROL OF SAMPLED GRATING DISTRIBUTED
BRAGG REFLECTOR LASERS

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on June 27, 2002.

By: 
Name: George H. Gates

SECOND REQUEST FOR CORRECTED FILING RECEIPT

Attn: Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed is a photocopy of the filing receipt from the U.S. Patent and Trademark Office in the above-identified application showing requested corrections. The filing receipt is erroneous in the following respects as reflected in the papers originally filed on June 29, 2001.

The corrections are shown in **Bold** and the deletion is shown in **Bold** and Underlined:

Under the heading "Domestic Priority data as claimed by applicant":

THIS APPLN CLAIMS BENEFIT OF 60/215,739 06/29/2000
AND CLAIMS BENEFIT OF 60/215,170 06/29/2000
AND CLAIMS BENEFIT OF 60/215,742 06/29/2000
AND A CIP OF 09/848,791 05/04/2001
WHICH CLAIMS BENEFIT OF 60/203,052 05/04/2000
AND A CIP OF 09/872,438 06/01/2001
AND CLAIMS BENEFIT OF 60/209,068 06/02/2000

AND A CIP OF 09/879,821 06/11/2001

WHICH CLAIMS BENEFIT OF 60/210,612 06/09/2000

AND A CIP OF 09/895,848 06/29/2001

RECEIVED
JUL 31 2002
TECHNOLOGY CENTER
2800

WHICH CLAIMS BENEFIT OF 60/215,739 06/29/2000
AND SAID 09/848,791 05/04/2001
AND A CIP OF 09/895,598 60/29/2001
WHICH CLAIMS BENEFIT OF 60/215,170 06/29/2000


Correction of the records of the U.S. Patent and Trademark Office and issuance of a
corrected filing receipt are respectfully solicited.

Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: June 27, 2002

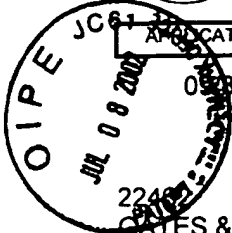
By: 
Name: George H. Gates
Reg. No.: 33,500
GHG/sjm



UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
JUN 06 2002

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231



APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/895,303	06/29/2001	2881	1185	G&C 122.6- US-U1	12	105	3

COOPER LLP
HOWARD HUGHES CENTER
6701 CENTER DRIVE WEST, SUITE 1050
LOS ANGELES, CA 90045

CONFIRMATION NO. 2396
CORRECTED FILING RECEIPT



OC00000008217754

Date Mailed: 05/31/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Paul F. Crowder, Santa Barbara, CA;
Larry A. Coldren, Santa Barbara, CA;

RECEIVED
JUL 31 2002
TECHNOLOGY CENTER 2800

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/215,739 06/29/2000
AND CLAIMS BENEFIT OF 60/215,170 06/29/2000
AND CLAIMS BENEFIT OF 60/215,742 06/29/2000
AND IS A CIP OF 09/848,791 05/04/2001
WHICH CLAIMS BENEFIT OF 60/203,052 05/04/2000
THIS APPLICATION 09/895,303
IS A CIP OF 09/872,438 06/01/2001
WHICH CLAIMS BENEFIT OF 60/209,068 06/02/2000
THIS APPLICATION 09/895,303
IS A CIP OF 09/879,821 06/11/2001
AND IS A CIP OF 09/895,848 06/29/2001
WHICH CLAIMS BENEFIT OF 60/215,739 06/29/2000
AND SAID 09/848,791 05/04/2001
IS A CIP OF 09/895,598 06/29/2001
WHICH CLAIMS BENEFIT OF 60/215,170 06/29/2000

09/879,821 06/11/2001

WHICH CLAIMS BENEFIT OF
60/210,612 06/09/2000

Foreign Applications

If Required, Foreign Filing License Granted 02/15/2002



COPY OF PAPERS
ORIGINALLY FILED

Projected Publication Date: 09/05/2002

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Gain voltage control of sampled grating distributed bragg reflector lasers

Preliminary Class

372

RECEIVED
JUL 31 2002
TECHNOLOGY CENTER 2800

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

FILE COPY

CONFIRMATION NO. 2396

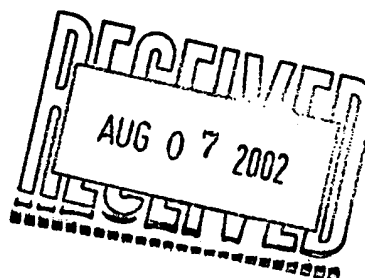
SERIAL NUMBER 09/895,303	FILING DATE 06/29/2001 RULE	CLASS 372	GROUP ART UNIT 2828	ATTORNEY DOCKET NO. G&C 122.6-US-U1
------------------------------------	---	---------------------	-------------------------------	---

APPLICANTS

Paul F. Crowder, Santa Barbara, CA;
Larry A. Coldren, Santa Barbara, CA;

**** CONTINUING DATA *******

THIS APPLN CLAIMS BENEFIT OF 60/215,739 06/29/2000
AND CLAIMS BENEFIT OF 60/215,170 06/29/2000
AND CLAIMS BENEFIT OF 60/215,742 06/29/2000
AND IS A CIP OF 09/848,791 05/04/2001
WHICH CLAIMS BENEFIT OF 60/203,052 05/04/2000
THIS APPLICATION 09/895,303
IS A CIP OF 09/872,438 06/01/2001
WHICH CLAIMS BENEFIT OF 60/209,068 06/02/2000
THIS APPLICATION 09/895,303
IS A CIP OF 09/879,821 06/11/2001
WHICH CLAIMS BENEFIT OF 60/210,612 06/09/2000
THIS APPLICATION 09/895,303
IS A CIP OF 09/895,848 06/29/2001
WHICH CLAIMS BENEFIT OF 60/215,739 06/29/2000
AND SAID 09/848,791 05/04/2001
IS A CIP OF 09/895,598 06/29/2001
WHICH CLAIMS BENEFIT OF 60/215,170 06/29/2000

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **
** 02/15/2002

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 12	TOTAL CLAIMS 105	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

ADDRESS

22462

TITLE

Gain voltage control of sampled grating distributed bragg reflector lasers

FILING FEE RECEIVED

FEES: Authority has been given in Paper
No. _____ to charge/credit DEPOSIT ACCOUNT

<input type="checkbox"/> All Fees
<input type="checkbox"/> 1.16 Fees (Filing)
<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)

C

RECEIVED 1185	No. _____ to charge/credit DEPOSIT ACCOUNT	time)
	No. _____ for following:	<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit _____